

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peidong Wang et al.

Serial No.: 09/966,502

Filing Date: 09/28/01

Title: TUNABLE FABRY-PEROT FILTER AND TUNABLE  
VERTICAL CAVITY SURFACE EMITTING LASER

Group Art Unit 2881

Attorney's Docket No.: CORE-84

Box Missing Parts

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

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DATE OF DEPOSIT January 30, 2002

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James A. Sheridan  
(PERSON MAILING)  
James A. Sheridan 1/30/02  
(SIGNATURE)

RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION - FILING DATE GRANTED

In response to the outstanding NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED, Applicant  
hereby respectfully submits the following:

(1) a DECLARATION AND POWER OF ATTORNEY document listing  
the names of each of the inventors, specifically identifying the  
application by serial number and filing date, and signed by the  
inventors, Peidong Wang, Parviz Tayebati and Daryoosh Vakhshoori;

(2) a duplicate copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED, as requested in the Notice; and

(3) one (1) check in the amount of \$130.00 to cover the surcharge for the late filing of the Declaration, this charge having been calculated on the basis of Large Entity status.

In the event that any additional fees may be required to be paid in connection with this submission, please charge the same, or credit any overpayment, to Deposit Account No. 16-0221.

A duplicate copy of this RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED is enclosed for the convenience of the Patent and Trademark Office.

Respectfully submitted,

*James A. Sheridan 1/30/02*

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PS/CORE84.RES

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,502	09/28/2001	Peidong Wang	CORE-84

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Waltham, MA 02451-1914



**CONFIRMATION NO. 7227**

**FORMALITIES LETTER**



\*OC00000006998519\*

Date Mailed: 10/30/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

W. Deacon  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/05/2002 SMINASS1 00000008 09966502

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